



PRIVATE SECTOR HOUSING ENFORCEMENT POLICY

2026 TO 2029

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VERSION CONTROL:

Version Number	Purpose / Change	Author	Date
1.0	New Policy produced due to changes in legislation to align with current national model drafted by tenant advocacy organisation Justice for Tenants and officially endorsed and developed by The Association of Chief Environmental Health Officers (England) to provide consistency across the country.	Janette Parker-East	16 th September 2026

Corporate Plan 2025-28

Council ambition	Linked?
Working for a prosperous future <i>We want to ensure the best possible local economy, with opportunities for our residents to succeed and achieve</i>	Yes. The policy fosters a fair local economy by ensuring all landlords adhere to the same standards, preventing "rogue" operators from gaining an unfair advantage over responsible local businesses. By maintaining high housing standards, it supports a stable environment where residents have the secure base necessary to succeed and contribute to the Borough's economic growth.
Healthier, safer communities <i>Residents should feel safe in our Borough and supported to be more active in order to improve their health and wellbeing</i>	Yes. This policy directly improves resident wellbeing by mandating the removal of Category 1 and 2 hazards, such as damp, mould, and structural risks, which impact physical and mental health. It enhances community safety by utilising new powers under the Renters' Rights Act 2025 to protect tenants from illegal evictions and harassment, ensuring residents feel secure in their own homes.
A greener and cleaner environment <i>It's important to everyone that we look after our environment</i>	Yes. By enforcing housing standards related to energy efficiency and thermal comfort, the policy helps reduce the Borough's carbon footprint and energy waste. Additionally, addressing property disrepair and managing empty homes prevents neighbourhood blight, ensuring that the local environment remains attractive and well-maintained for all.
Restoring the Council to good health <i>We will place our Council on a sound financial footing and ensure resilience – delivering the service our residents deserve and expect</i>	Yes. The policy places the Council on a sounder financial footing by incorporating cost recovery mechanisms, such as charging for enforcement notices and recovering the costs of works in default. This ensures that the burden of regulation falls on non-compliant landlords rather than taxpayers, building a more resilient and professional housing service.
We ♥ Castle Point <i>We will help create and maintain the best possible place to live in, work in and visit</i>	Yes. By proactively tackling poor management in the private rented sector, the policy ensures that the Borough remains a high-quality place to live, work and visit. It demonstrates the Council's commitment to its residents by setting clear expectations for housing quality, thereby fostering pride in the local community and the physical appearance of the Borough.

The Lead Officer responsible for owning the policy and internal approval body (meeting / board where the policy is approved for adoption or approved for referral to PFH or Committee for adoption)

Name: JANETTE PARKER-EAST, ASSISTANT DIRECTOR OF ENVIRONMENTAL HEALTH, LICENSING & COMMUNITY

ROLES AND RESPONSIBILITIES:

- **CLLR R. LILLIS – HEALTH, WELLBEING & HOUSING**
- **CABINET**

PURPOSE STATEMENT:

To ensure consistent and fair enforcement of housing standards and landlord legislation, fulfilling the Council's statutory duties under the Housing Act 2004 and the Renters' Rights Act 2025.

SCOPE:

This Policy applies to all privately rented accommodation, including Houses in Multiple Occupation (HMOs). It affects tenants and governs the conduct of landlords, letting agents and property managers operating within the Borough. It also affects owner-occupiers in poor quality housing and owners of empty homes within the Borough.

COMPLIANCE AND ENFORCEMENT:

Internally, this Policy provides officers with a clear framework and enhanced investigatory powers to identify hazards and tackle non-compliance requiring rigorous reporting to the Secretary of State. Externally, it protects tenants and owner occupiers by ensuring safer living conditions and provides landlords with transparent expectations regarding enforcement. While the Council supports responsible providers, it will proactively use formal legal action against those who breach standards or obstruct investigations.

- **Equality Impact Assessment (EIA) undertaken? Yes**
- **Data Protection Impact Assessment (DPIA) undertaken? Yes**
- **Risk Assessment undertaken? Yes** (this area of work is covered by the Environmental Health service's health and safety risk assessment and risk register)
- **Reference(s) to any audit recommendations No**

SUPPLEMENTARY MATERIALS:

- **Environmental Health and Licensing Enforcement Policy**
- **Private Sector Housing Civil Financial Penalty Policy**

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1. INTRODUCTION

- 1.1. This Policy sets out the Council's principles for enforcing and executing its duties as a Housing Authority under the relevant statute.
- 1.2. Section 3 of the [Housing Act 2004](#) imposes a duty on Councils to keep housing conditions in their district under review with a view to identifying any action that may need to be taken by them.
- 1.3. Section 107 of the [Renters' Rights Act 2025](#) ('The Act') imposes a duty on the Council to enforce the 'Landlord Legislation'. The Landlord Legislation is comprised of the following:
 - a) Chapters 3 and 6 of Part 1 of the Renters' Rights Act 2025;
 - b) Part 2 of the Renters' Rights Act 2025;
 - c) Sections 1 and 1A of the [Protection from Eviction Act 1977](#); and
 - d) Chapter 1 of Part 1 of the [Housing Act 1988](#).
- 1.4. Section 110 of the Renters' Rights Act 2025 imposes a duty on the Council to report to the Secretary of State on the exercise of its functions under the Landlord Legislation.
- 1.5. In this Policy, the term 'landlord' should be read as including letting agents, managing agents, licensors, property owners, directors of corporate landlords and any other person involved in the letting or management of privately rented accommodation.¹
- 1.6. In this Policy, the terms '[House of Multiple Occupation](#)' or 'HMO' are defined by the Housing Act 2004.

2. AIMS OF THE POLICY

- 2.1. The purpose of this Private Sector Housing Enforcement Policy is to provide guidance for relevant council officers to ensure enforcement action is taken in line with the provisions of the Renters' Rights Act 2025 and mandatory guidance to local authorities.

¹ The person having control and person managing are defined in the legislation at: <https://www.legislation.gov.uk/ukpga/2004/34/section/263>

- 2.2. The Act and the Landlord Legislation (as defined by Section 107 Renters' Rights Act 2025) and Part 1 of the Housing Act 2004 are not listed in the Schedule to the Legislative and Regulatory Reform (Regulatory Functions) Order 2007 and therefore sit outside of the [Regulators' Code](#), and its provisions do not apply.
- 2.3. This Policy document sets out what owners, landlords, their agents or any other person involved in the letting or management of privately rented accommodation and tenants of private rented sector properties, can expect from officers when dealing with non-compliance.
- 2.4. All enforcement action taken will be in accordance with relevant statutory Codes of Practice, Council procedures and protocols, and official guidance from central and local government bodies.
- 2.5. As a public body under the [Human Rights Act 1998](#), the Council will apply the principles of the [European Convention for the Protection of Human Rights and Fundamental Freedoms](#).

3. APPROACH TO ENFORCEMENT

- 3.1. The Council wants to support responsible landlords to meet the [housing standards](#) and where they do not to raise them. However, the Council expects landlords to have a good understanding of the housing standards and management issues that should be met in privately rented accommodation.
- 3.2. Section 5 of the Housing Act 2004 places a duty on Councils to take appropriate enforcement action where a Category 1 hazard² exists.
- 3.3. Section 6A of the Housing Act 2004 permits the Council, when first taking appropriate enforcement action under Section 5 Housing Act 2004 in relation to a Category 1 hazard or a failure to meet a Type 1 requirement on qualifying residential premises, to impose a Civil Financial Penalty on the responsible person where, in the Council's opinion, it would have been reasonably practicable for that person to secure the removal of the hazard or the meeting of the requirement. This power applies to qualifying residential premises other than the common parts of a building containing one or more flats.
- 3.4. Section 7 of the Housing Act 2004 gives Councils a discretionary duty to take action where a high Category 2 hazard³ exists. The Council will usually take action where a high Category 2 hazard exists (with banding D, E & F) and may take action for a lower banded Category 2 hazard at its discretion.
- 3.5. In addition, Council officers will often investigate and identify the need to take enforcement action through a range of routes, including (but not limited to):
 - a) Proactive inspections of dwellings through licensing provisions;
 - b) In response to a complaint or request for assistance;
 - c) Referrals from other public bodies; and

² Under the UK's Housing Health and Safety Rating System (HHSRS), a Category 1 hazard is a severe and immediate risk to an occupier's health or safety

³ Under the UK's Housing Health and Safety Rating System (HHSRS), a Category 2 hazard is a less urgent, minor, or moderate risk to an occupier's health or safety. While still considered a deficiency, it does not pose an immediate or severe threat to life.

- d) Empty properties identified by inspections as a result to action taken via the Empty Homes Policy.
- 3.6. All investigations will be carried out in accordance with the relevant statutory requirements.
- 3.7. The Council will ensure that appropriate governance is in place to ensure that action is taken in accordance with appropriate policies.
- 3.8. The Council may commence enforcement with formal action instead of informal action in the first instance. In deciding whether to do so, the circumstances of the case will be taken into account. Relevant factors may include, but are not limited to:
- a) Where there is a risk to public health;
 - b) Where there is a blatant or deliberate contravention of the law; and
 - c) Where there is history of non-compliance.
- 3.9. The Council will usually take formal action in the first instance if there has been:
- a) Non-compliance with previous formal or informal action; or
 - b) Offences in relation to the licensing of HMOs or residential property (if selective licensing is in force).
- 3.10. The Council will take formal enforcement action in the first instance for breaches of the Landlord Legislation.

4. INVESTIGATORY POWERS

- 4.1. In addition to the Council's informal and formal powers of enforcement, there are investigatory powers relating to the collection of information and relating to the entry of premises including, but not limited to, the powers detailed below.

Power to Investigate

- 4.2. Section 114 of the Renters' Rights Act 2025 gives the Council power to issue a notice to a relevant person to require the person to provide specified information to the Council. This notice may be given to any person with an estate or interest in the land; the licensor; their agents; or a marketer of a property. It may be given in regard to any offence under the following legislation:
- a) Sections 1 and 1A of the Protection from Eviction Act 1977;
 - b) Chapter 1 of Part 1 of the Housing Act 1988;
 - c) Section 83(1) or 84(1) of the [Enterprise and Regulatory Reform Act 2013](#);
 - d) Sections 21 to 23 of the [Housing and Planning Act 2016](#); and
 - e) Chapter 3 of Part 1 and Part 2 of the Renters' Rights Act 2025.
- 4.3. Failure to comply with a Section 114 notice is an offence under Section 131 of the Renters' Rights Act 2025, as is being obstructive and intentionally or recklessly making false or misleading statements in response to a Section 114 notice.
- 4.4. Section 115 of the Renters' Rights Act 2025 permits the Council when it reasonably suspects a breach of the Rented Accommodation Legislation to issue a notice to any person requiring them to provide the information specified. This may only be done to investigate whether a breach has occurred under the Rented Accommodation

Legislation, or to determine the amount of a penalty. For the purposes of this section, the Rented Accommodation Legislation means:

- a) Sections 1 and 1A of the Protection from Eviction Act 1977;
 - b) Chapter 1 of Part 1 of the Housing Act 1988;
 - c) Parts 1 to 4 and 7 of the Housing Act 2004 ;
 - d) Section 83(1) or 84(1) of the Enterprise and Regulatory Reform Act 2013;
 - e) Sections 21 to 23 of the Housing and Planning Act 2016; and
 - f) Chapter 3 of Part 1 and Part 2 of the Renters' Rights Act 2025.
- 4.5. Where an individual has not complied with a Section 115 notice, Section 116 Renters' Rights Act 2025 enables the Council to make an application to the Court to enforce the provisions of the notice and seek reimbursement for the costs of the application.
- 4.6. Section 131 of the Renters' Rights Act provides that, in addition to the offence of non-compliance with a Section 114 notice, it is an offence for an individual to obstruct a Council officer seeking to exercise their powers without reasonable excuse. It is also an offence to fail to give an officer any additional assistance or information which they reasonably require without reasonable excuse.
- 4.7. Section 235 of the Housing Act 2004 allows the Council to issue a notice to relevant individuals, including occupiers, directing them to provide specified documents under their control for the purpose of investigating whether an offence has been committed under Parts 1 to 4 of the Housing Act 2004 or exercising the Council's functions under Parts 1 to 4 of the Housing Act 2004.
- 4.8. Section 16 [Local Government \(Miscellaneous Provisions\) Act 1976](#) also permits the Council to issue a notice to an occupier, manager, or individual with an interest in the land to compel them to provide the Council with information on the nature of their interest and the names and addresses of current occupiers and of any others with an interest in the land.

Entry to Premises

- 4.9. Section 118 of the Renters' Rights Act 2025 permits Council officers to enter business premises of relevant people (including landlords, letting agents and marketers) if it is necessary for the production or seizure of documents under Sections 122 and 123 of the Renters' Rights Act 2025. This power will be exercised without a warrant.
- 4.10. Section 121 of the Renters' Rights Act 2025 allows a Council officer named in a warrant to enter premises used for a rental sector business which is not mainly accommodation if there are documents on the premises which the officer could require under Section 122 or seize under Section 123. In addition, for this power to be exercised, one of the following conditions must be met:
- a) That access to the premises has been or is likely to be refused, and the Council has provided notice of their intention to apply for a warrant to the occupier;
 - b) Those documents on the premises would likely be concealed or interfered with if notice of entry were to be given; or
 - c) That no occupier is present, and waiting for their return might defeat the purpose of the entry.

- 4.11. Following a Section 118 or Section 121 of the Renters' Rights Act 2025 entry, Section 122 allows an officer at any reasonable time to require a relevant person on the premises to produce any documents relating to the business and to take copies of them. This may only be exercised to ascertain whether there has been a breach of the Rented Accommodation Legislation where an officer reasonably suspects there has been a breach or an offence; or to ascertain whether the documents may be required in evidence for proceedings regarding a breach or offence.
- 4.12. Following a Section 118 or Section 121 of the Renters' Rights Act 2025 entry, Section 123 authorises Council officers to seize and detain documents that the officer reasonably suspects may be required as evidence in proceedings relating to a breach of, or an offence under, the Rented Accommodation Legislation. When doing so, the officer will provide evidence of the officer's identity and authority if reasonably practicable. The officer will take reasonable steps to inform the person from whom documents have been seized that they have been seized, and will provide that person with a written record of what has been taken.
- 4.13. Section 126 of the Renters' Rights Act 2025 permits the Council to enter residential premises used for a tenancy at a reasonable time if the officer considers it necessary as part of an investigation into potential offences specified in subsection 1(b). Where required, the Council will give at least 24 hours' notice of this to the occupier and individuals with an interest in the property as per subsection 1(c), detailing in writing why the entry is necessary and the suspected offences. Where there are occupiers found on the premises, the officer will provide evidence of the officer's identity and authority to at least one of the occupiers if reasonably practicable.
- 4.14. In addition, Section 239 of the Housing Act 2004 permits Council officers to enter, if necessary and at a reasonable time, a property in order to carry out a survey or examination. This may be done if any one of the following is met:
- a) To determine if any Part 1 to 4 enforcement functions should be exercised;
 - b) The premises are part of an Improvement Notice or Prohibition Order; or
 - c) A management order is in force under Chapter 1 or 2 of Part 4 on the premises.
- 4.15. In [certain circumstances](#) the Council may obtain a warrant to enter, by force if necessary, under Section 240 of the Housing Act 2004.

5. INFORMAL ACTION

- 5.1. Informal action taken by the Council may be written or verbal advice. Additionally, a visit may be made at the outset by Council officers in cases where the initial complaint or contact indicates that an immediate investigation by a Council officer is warranted.
- 5.2. In cases where officers visit an address, whether this is a result of a landlord's failure to adequately resolve a highlighted issue or as part of an audit or other investigation, written or verbal advice may be deemed sufficient should the inspection highlight only very minor deficiencies.
- 5.3. Where written advice is deemed appropriate by the Council and is provided, timescales will normally be included to undertake any specified work or actions.
- 5.4. While the Council will use its discretion on whether to carry out informal action for a Category 2 hazard, it does not need to provide written or verbal advice before commencing formal action.

6. FORMAL ACTION

6.1. If formal action is considered appropriate, the following options are available to the Council.

Housing Act 2004 Part 1

- a) Issue an Improvement Notice in respect of any Category 1 hazards and any Category 2 hazards on the property. This requires the person to whom it is served to undertake the remedial action specified on the Notice within a given timeframe. The mandated work and the timeframe will be determined by the Council depending on the nature and scale of the work.
- b) Issue a Prohibition Order in respect of any Category 1 hazards and any Category 2 hazards on the property. This prevents occupation of whole or part of the property, or can be used to limit occupant numbers, within a specified time frame.
- c) Issue a Hazard Awareness Notice in respect of any Category 1 hazards and any Category 2 hazards on the property. This makes the owner and occupiers aware of the hazards identified; however, it does not require remedial action. As a result, and because it does not secure risk-reducing works within a specified timeframe, a Hazard Awareness Notice will not usually be the most appropriate course of action where remedial works are necessary to reduce the risk of harm to occupiers or potential occupiers.
- d) Make an Emergency Prohibition Order. This immediately prohibits the use of all or part of a dwelling if there is an imminent risk of serious harm to the health or safety of the occupants or others.
- e) Where there is a Category 1 hazard present, Section 40 of the Housing Act 2004 allows the Council to undertake Emergency Remedial Action on the Category 1 hazard without prior notice.
- f) Impose a Civil Financial Penalty under Section 6A Housing Act 2004, when first taking the appropriate enforcement action required by Section 5 Housing Act 2004, in relation to a Category 1 hazard or a failure to meet a Type 1 requirement on qualifying residential premises, where the Council considers that it would have been reasonably practicable for the responsible person to secure the removal of the hazard or the meeting of the requirement.
- g) The Council also has the power to suspend action taken under Part 1 of the Housing Act 2004 in situations where it has the power or duty to take enforcement action through the service of an Improvement Notice or Prohibition Order. This will be at the Council's discretion and will normally be considered for the purpose of minimising inconvenience to the current occupiers.
- h) Demolition and Clearance are options for both Category 1 or Category 2 hazards.
- i) Section 30 of the Housing Act 2004 provides that failure to comply with an Improvement Notice is a criminal offence, which will normally be followed by prosecution or the issuing of a Civil Financial Penalty.
- j) Section 32 of the Housing Act 2004 provides that failure to comply with a Prohibition Order is a criminal offence, which will normally be followed by prosecution.
- k) Other formal notices served by the Council may not relate to the landlord undertaking remedial works but may cover a range of other matters including, but not limited to,

exercising a right of entry under Section 239 of the Housing Act 2004 and a request to provide information or the need to abate or avoid overcrowding.

Work in default

- 6.2. The enforcement options for non-compliance with formal Notices or breach of licence conditions include the carrying out of works specified in the Notice. This power may be exercised in addition to other enforcement proceedings taken for non-compliance. The Council has no duty to undertake works in default and it will be at its discretion.

Section 6A Housing Act 2004 civil financial penalties

- 6.3. Where the Council is required to take appropriate enforcement action under Section 5 Housing Act 2004 in relation to a Category 1 hazard, or a failure to meet a Type 1 requirement, on qualifying residential premises, it may also impose a Civil Financial Penalty under Section 6A Housing Act 2004 when first taking that action. The Council will impose a penalty where it is satisfied, on the balance of probabilities, that the statutory conditions are met and that it would have been reasonably practicable for the responsible person to secure the removal of the hazard or the meeting of the requirement. When considering whether removal of the hazard would have been reasonably practicable, the Council may consider:
- a) How long the responsible person has known about the existence of the hazard;
 - b) Whether practical steps could have been taken to remedy the hazard without disproportionate expense or disruption;
 - c) What steps the responsible person has taken to remove the hazard or reduce its impact, including any efforts made to secure the services of specialist tradespeople;
 - d) Whether permission from other parties is needed to remove the hazard and the steps the responsible person has taken to secure that permission;
 - e) Whether tenants have provided access to the property in order for remedial works to be carried out;
 - f) Whether any failure by tenants or occupiers to provide access was beyond the responsible person's control, or whether the responsible person could reasonably have taken further steps to secure access, such as: explaining in writing the existence and seriousness of the Category 1 hazard, the required remedial works, the need for access, the tenants' or occupiers' legal obligations, and the potential consequences of continued refusal; offering a reasonable range of dates and times for access; and serving appropriate formal notices where reasonable and lawful.

Emergency or suspended enforcement action

- 6.4. Where there is a Category 1 hazard present, Section 43 of the Housing Act 2004 permits the Council to issue an Emergency Prohibition Order. This immediately prohibits the use of all or part of a dwelling if there is an imminent risk of serious harm to the health or safety of the occupants or others.
- 6.5. Section 40 of the Housing Act 2004 allows the Council to undertake Emergency Remedial Action on the Category 1 hazard without prior notice. The Council may then seek reimbursement of costs incurred on the work and the administration of the scheme.
- 6.6. The Council also has the power to suspend action taken under Part 1 of the Housing Act 2004 in situations where it has the power or duty to take enforcement action

through the service of an Improvement Notice or Prohibition Order. This will be at the Council's discretion and will normally be considered for the purpose of minimising inconvenience to the current occupiers.

HMO Licence Conditions

- 6.7. Conditions can be added to HMO licences to require work to meet specified standards or to address [HMO Management Regulation](#) requirements. In general, authorities should seek to identify, remove or reduce Category 1 or Category 2 hazards in the house by the exercise of Part 1 functions and not by means of licence conditions however this does not prevent the authority from imposing licence conditions relating to the installation or maintenance of facilities or equipment even if the same result could be achieved by the exercise of Part 1 functions.
- 6.8. Failure to comply with these conditions is a criminal offence, which may result in prosecution or the issuing of a Civil Financial Penalty.

Other Legislative alternatives

- 6.9. There may be other legislative alternatives available to remedy deficiencies that cause Category 1 and/or Category 2 hazards which the Council may choose as a more appropriate enforcement approach.

Prosecution

- 6.10. Where a Civil Financial Penalty is an available alternative to prosecution, the Council will only consider using its power to prosecute under Part 1 of the Housing Act 2004 in more serious cases.
- 6.11. The decision to prosecute will be determined by the evidential strength of the Council's case and the relevant public interest factors set down by the Director of Public Prosecutions in the [Code for Crown Prosecutors](#).
- 6.12. In many circumstances, where an offence is committed by a corporate body, legislation enables the Council to pursue persons involved with the corporate body in addition to, or instead of, the corporate body. These include company officers and where applicable, company members.
- 6.13. The Council will determine, on a case-by-case basis, whether to take enforcement action against any person or persons that they consider fall within the scope of this category in addition to prosecuting the corporate body.

Civil Financial Penalties for Specified Breaches and Offences

- 6.14. This section relates exclusively to Civil Financial Penalties issued by the Council for the below offences and breaches of housing law.
- 6.15. The Council has the power to impose a Civil Financial Penalty for the following:
- a) Unlawful eviction and harassment of an occupier as defined under the Protection from Eviction Act 1977;
 - b) Failure to comply with an Improvement Notice [Section 30 of the Housing Act 2004];

- c) Offences in relation to licensing of Houses in Multiple Occupation (HMOs) [Section 72 of the Housing Act 2004];
- d) Offences in relation to the Selective Licensing of 'houses' [Section 95 of the Housing Act 2004];
- e) Failure to comply with an Overcrowding Notice [Section 139 of the Housing Act 2004];
- f) Failure to comply with a management regulation in respect of an HMO [Section 234 of the Housing Act 2004];
- g) Failure to secure the removal of a category 1 hazard and failure to meet Type 1 requirements on qualifying residential premises, where it would have been reasonably practicable to do so [Section 6A Housing Act 2004]
- h) Breach of duty under Regulation 3, 3B, 3C and 3D of The [Electrical Safety Standards in the Private Rented Sector and Social Rented Sector \(England\) Regulations 2020](#);
- i) Failure to comply with a banning order [Section 21 of the Housing and Planning Act 2016];
- j) Failure to give a written statement of terms under Section 16D of the Housing Act 1988;
- k) Failure to give an existing tenant information about changes made by the Renters' Rights Act under paragraph 7(2) of schedule 6 to the Renters' Rights Act 2025;
- l) Attempting to let a property for a fixed term under Section 16E of the Housing Act 1988;
- m) Attempting to end a tenancy orally or by service of a notice to quit under Section 16E of the Housing Act 1988;
- n) Serving an eviction notice that attempts to end a tenancy outside the prescribed Section 8 process under Section 16E of the Housing Act 1988;
- o) Relying on a ground where the person does not reasonably believe that the landlord is/will be able to obtain possession under Section 16E of the Housing Act 1988;
- p) Relying on a ground knowing the landlord would not be able to obtain possession or being reckless as to whether they would under section 16J of the Housing Act 1988;
- q) Failing to provide a tenant with prior notice that a ground which requires it may be used under Section 16E of the Housing Act 1988;
- r) Reletting or remarketing a property before expiry of the 12 month no-let period after using the moving and selling grounds under Sections 16E and 16J of the Housing Act 1988;
- s) Discriminating against prospective tenants during the letting process on the grounds that those tenants are in receipt of benefits or have children under Sections 33 and 34 of the Renters' Rights Act 2025;
- t) Marketing a letting without stating the proposed rent under Section 56 of the Renters' Rights Act 2025;
- u) Inviting or encouraging any person to offer to pay an amount of rent under the proposed letting that exceeds the stated rent under Section 56 of the Renters' Rights Act 2025; or

- v) Accepting an offer from any person to pay an amount of rent under the proposed letting that exceeds the stated rent under Section 56 of the Renters' Rights Act 2025.
- 6.16. Civil Financial Penalties in respect of these offences and breaches operate according to the Private Sector Housing Civil Financial Penalty Policy.

Rent Repayment Orders

- 6.17. Part 2 of the Housing and Planning Act 2016 permits the Council to seek a Rent Repayment Order (RRO) at the First Tier Tribunal Property Chamber to require the landlord of the property where the offence(s) has been committed to refund rent to the tenants or the Council. Section 48 of the Housing and Planning Act 2016 places a duty on the Council to consider applying for Rent Repayment Orders.
- 6.18. Where a landlord has been convicted or received a Civil Financial Penalty in respect of the offence, the Tribunal must award the maximum applicable amount, except in exceptional circumstances. The law does not provide an exhaustive list of exceptional circumstances that justify a lower award but case law and legal guidance indicate that they are rare and unusual. They may include: significant personal hardship or vulnerability; extreme financial distress; exceptional physical or mental impairment; extraordinary language or information barriers; or unusual administrative failures.
- 6.19. This power will be considered in response to all qualifying offences. Where the Council identifies a qualifying offence and is satisfied that there is sufficient evidence to make a successful application, it will pursue a Rent Repayment Order unless there are exceptional case-specific reasons not to do so.
- 6.20. The qualifying offences are:
- a) Unlawful eviction and harassment of an occupier as defined under the Protection from Eviction Act 1977;
 - b) Failure to comply with an Improvement Notice [Section 30 of the Housing Act 2004];
 - c) Offences in relation to unlicensed HMOs [Section 72(1) of the Housing Act 2004];
 - d) Offences in relation to unlicensed houses [Section 95(1) of the Housing Act 2004];
 - e) Failure to comply with an Improvement Notice [Section 30(1) of the Housing Act 2004];
 - f) Failure to comply with a Prohibition Order [Section 32(1) Housing Act 2004];
 - g) Breach of a Banning Order [Section 21 Housing and Planning Act 2016];
 - h) Using Violence to secure entry [Section 6(1) [Criminal Law Act 1977](#)]
 - i) Knowingly or recklessly misusing a possession ground [Section 16J(1) of the Housing Act 1988];
 - j) Letting or marketing of a property within twelve months of using the 'moving in' or 'selling' ground of eviction [Section 16J(2) of the Housing Act 1988]; or
 - k) Continuous breach of certain tenancy reform requirements [Section 16J(3) of the Housing Act 1988].
- 6.21. An application for an Rent Repayment Order may be in addition to other formal action, such as prosecution proceedings or the imposition of a Civil Financial Penalty. Where the Council has issued a Civil Financial Penalty or pursued prosecution, it will usually

apply for a Rent Repayment Order where public funds have been paid to a landlord who has committed a qualifying offence.

- 6.22. Section 49 of the Housing and Planning Act 2016 enables the Council to assist tenants in applying for Rent Repayment Orders. Where appropriate, the Council will usually support tenants by referring or signposting them to a relevant advice or representation service, such as Justice for Tenants and by providing relevant evidence which may assist tenants in preparing, pursuing or participating in Rent Repayment Order proceedings.

Banning Orders

- 6.23. Part 2, Chapter 2 of the Housing and Planning Act 2016 permits a Council to apply for a Banning Order against a person who has been convicted of one or more of the relevant offences. This would prevent the landlord from:

- a) Letting housing in England;
- b) Engaging in English letting agency work;
- c) Engaging in English property management work; or
- d) Doing two or more of those things.

- 6.24. The Council may consider a Banning Order for the more serious offenders. It will take into account the seriousness of the offence(s), whether the landlord has committed other offences (or received any Civil Financial Penalty in relation to a Banning Order offence) and any history of failing to comply with their obligations or legal responsibilities. It will also take into account other relevant factors, including but not limited to:

- a) The harm, or potential harm, caused to the tenant;
- b) The need to punish the offender;
- c) The need to deter the offender from repeating the offence; or
- d) The need to deter others from committing similar offences.

Costs and Charges

- 6.25. The Council incurs costs in carrying out its functions. Where legislation allows, the Council will seek to recover reasonable costs and expenses associated with its enforcement, licensing and wider regulatory activity. This may include (non-exhaustively) costs arising from inspections, investigation, evidence gathering, notices and other statutory documentation, follow-up action, compliance monitoring and works or other interventions.

- 6.26. Recovery may be pursued using all available lawful routes, which may include civil action, local land charges and enforcement against the property.

- 6.27. Where permitted, interest may be applied to outstanding sums until paid.

7. COMPLAINTS

- 7.1. Contact may be made with the Council about any matters listed here by email at eh@castlepoint.gov.uk or by post at: Castle Point Borough Council, Kiln Road, Benfleet, Essex, SS7 1TF.

- 7.2. A service user can still make a complaint in cases where the Council has instigated legal proceedings. However, making a complaint will not stop any impending legal action.
- 7.3. Where statutory notices have been served, making a complaint does not replace the statutory rights of appeal or the right to make representations. It also does not allow extra time to comply with any notice or order.
- 7.4. If a service user disagrees with a statutory notice, they should take action as specified in the notice or order to make an appeal, if any exists. Reference should be made to any notes that may accompany the notice or order for more detail.

APPENDIX 1

Statement of Principles to Determine the Amount of a Penalty Charge under Part 4 of The Smoke And Carbon Monoxide Alarm (England) Regulations 2015 as amended by The Smoke And Carbon Monoxide Alarm (Amendment) Regulations 2022 (“The Regulations”)

Section 13 of the Regulations requires local housing authorities to prepare and publish a statement of principles which they propose to follow in determining the amount of a penalty charge.

The Regulations introduced legal requirements on relevant landlords to:

1. Equip a smoke alarm on each storey of the premises on which there is a room used wholly or partly as living accommodation.
2. During any period when the premises were occupied under the tenancy, to ensure that a carbon monoxide alarm is equipped in any room of the premises which is used wholly or partly as living accommodation and which contains a fixed combustion appliance other than a gas cooker.
3. Carry out checks by or on behalf of the landlord to ensure that each prescribed alarm is in proper working order on the day the tenancy begins if it is a new tenancy.
4. Where, following a report made on or after 1st October 2022 by a tenant or by their nominated representative to the landlord, a prescribed alarm is found not to be in proper working order, the alarm is repaired or replaced.

For the purposes of the legislation, living accommodation includes a bathroom or lavatory.

Where the Council believes that a landlord is in breach of one or more of the above duties, the Council must serve a remedial notice on the landlord. The remedial notice is a notice served under Regulation 5 of the Regulations.

If the landlord then fails to take the remedial action specified in the notice within the specified timescale, the Council can require a landlord to pay a penalty charge and can arrange for remedial action to be taken under certain circumstances. The power to charge a penalty arises from Regulation 8 of the Regulations. Failure to comply with each remedial notice can lead to a fine of up to £5,000. Fines will be applied per breach, rather than per landlord or property.

The Council will impose a penalty charge where it is satisfied, on the balance of probabilities, that the landlord has not complied with the action specified in the remedial notice within the required timescale.

A landlord will not be considered to be in breach of their duty to comply with the remedial notice if they can demonstrate they have taken all reasonable steps to comply. Where there is evidence, including written correspondence, of repeated and consistent efforts to obtain access to the property, with access repeatedly being prevented by the occupant(s) of the property, a landlord will not be considered to be in breach of their duty to comply with the remedial notice. A landlord will be expected to have:

- a) Communicated the risk of harm that the lack of functioning alarms posed to all occupants in writing on multiple occasions; and
- b) Requested access to comply with the remedial notice on a regular basis of no longer than every seven days in writing.

In considering the imposition of a penalty, the Council may look at the evidence concerning the breach of the requirement of the notice. A non-exhaustive list of methods that may be used to obtain relevant evidence includes, but is not limited to:

- a) Evidence obtained from a property inspection;
- b) Evidence provided by the tenant or agent;
- c) Evidence provided by the landlord demonstrating compliance with the Regulations by supplying dated photographs of alarms, together with installation records; or
- d) That all detector heads have not passed their expiration or replacement date.

Landlords need to take steps to demonstrate that they have met the testing requirements at the start of the tenancy requirements. A non-exhaustive list of methods that may be used to evidence compliance with these testing requirements includes, but is not limited to tenants signing an inventory form which states that they observed the alarms being tested and confirming that the alarms were in working order at the start of the tenancy.

Where a landlord is in breach, the local housing authority may serve a remedial notice. Failure to comply with each remedial notice can lead to a fine of up to £5,000. Fines will be applied per breach, rather than per landlord or property

When determining the amount of the penalty charge, regard will be had to whether this is a first breach under the Regulations.

Determining the amount of the penalty charge for a first breach

The minimum amount of a penalty charge for a first breach of the Regulations will be £2500. Only in exceptional circumstances may the Council depart from the application of this statement of principles and issue a penalty charge for less than £2500. Exceptional circumstances are rare and unusual and are not established merely by the presence of multiple mitigating factors.

The starting level of the calculation of a penalty charge for a first breach of the Regulations will be £3000. The penalty charge amount will then be varied up or down depending on aggravating and mitigating factors (but not below the minimum of £2500).

Aggravating factors include, but are not limited to:

- a) The number of alarms not working or missing (the Regulations state there should be one per storey);
- b) Other fire safety concerns/defects in the property which increase the risk posed to the occupants;
- c) The length of time the offence is believed to have been on-going;
- d) The frequency of complaints by the occupiers to the landlord about the non-working or missing alarms;
- e) The costs of any remedial work the Council have carried out in response to the breach;
- f) Whether the property is let as a HMO (which increases the overall risk);

- g) The number of occupants living in the property;
- h) Presence of vulnerable occupiers such as elderly, children or disabled people;
- i) Any history of previous enforcement or non-compliance of the landlord; and
- j) Attempts to obstruct the investigation.

Mitigating factors include, but are not limited to:

- a) The property being small and low-risk (for example a one-bedroom ground floor flat with a large number of fire escapes including large windows);
- b) A single occupant living in the property;
- c) Evidence that all required alarms were checked and in working order at the start of the tenancy; and
- d) Written evidence that some efforts to gain access and comply with the remedial notice were made and access was prevented by the occupant.

Determining the amount of the penalty charge for a subsequent breach

The penalty for subsequent breaches by the same landlord will be £5000. Only in exceptional circumstances may the Council depart from the application of this statement of principles and issue a penalty charge for less than £5000. Exceptional circumstances are rare and unusual and are not established merely by the presence of multiple mitigating factors.

APPENDIX 2

Statement of Principles to Determine the Amount of a Penalty Charge for a Breach of Minimum Energy Efficiency Standards (Mees) with respect to Domestic Privately Rented Property

[The Energy Efficiency \(Private Rented Property\) \(England and Wales\) Regulations 2015](#) (“the Regulations”) make it unlawful to rent out a domestic property if it has an Energy Performance Certificate (EPC) rating of F or G (unless a valid exemption has been registered on the [Private Rented Sector \(PRS\) Exemptions Register](#)).

The Regulations make it unlawful to fail to comply with a compliance notice served by the Council.

The Regulations cover all relevant properties, even where there has been no change of tenancy.

The Regulations were introduced to improve the energy efficiency of housing in the private rented sector and to reduce greenhouse gas emissions and tackle climate change. They should help make tenants’ homes more thermally efficient.

An EPC gives the property an energy efficiency rating – A rated properties are the most energy efficient and G rated are the least efficient. It’s valid for 10 years and must be provided by the owner of a property, when it is rented or sold.

If you are a landlord and you fail, when requested, to provide an EPC for the start of a tenancy, you will be in breach of the Regulations.

An EPC contains information about the type of heating system and typical energy costs. It also gives recommendations about how the energy use could be reduced, lowering running costs. You can find the recommended energy efficiency improvements on the current EPC.

If you’re a private landlord, you must either ensure your rented properties have an EPC with a minimum ‘E’ rating or register a valid PRS exemption on the PRS exemptions register

Failure to do either of these is a breach of the Regulations.

The Council investigates any potential breaches of the regulations. If the Council is satisfied that you are, or have at any time in the 18 months preceding the date of service of the penalty notice, breached the Regulations, you may be subject to a penalty notice imposing a financial penalty. The Council may also impose a publication penalty.

The “publication penalty” means publication, for a minimum period of 12 months, or such longer period as the Council may decide, on the PRS Exemptions Register of such of the following information in relation to a penalty notice as the Council decides:

- a) Where the landlord is not an individual, the landlord’s name;
- b) Details of the breach of these Regulations in respect of which the penalty notice has been issued;
- c) The address of the property in relation to which the breach has occurred; and
- d) The amount of any financial penalty imposed.

The Council will impose the following financial penalties:

- a) Letting a property with an F or G rating for less than 3 months: £2,000;

- b) Letting a property with an F or G rating for more than 3 months: £4,000;
- c) Registering false or misleading information on the PRS exemptions register: £1,000;
and
- d) Failing to provide information to the Council demanded by a compliance notice:
£2,000.

The Council may not impose a financial penalty under both subsections a) and b) above in relation to the same breach of the Regulations. But they may impose a financial penalty under either paragraph a) or paragraph b), together with financial penalties under paragraphs c) and d), in relation to the same breach. Where penalties are imposed under more than one of these paragraphs, the total amount of the financial penalty may not be more than £5,000.